

South Carolina Department of Public Safety, State Transport

RECEIVED

JUN 15 2012

OBS  
TTW  
Federal Tax ID:USDOT#  
2189771Legal: C&G CHARTER SERVICE LLC  
Operating (DBA):

MC/MX #: 760862

State #:

Review Type: Safety Audit - New Entrant

Location of Review/Audit: Company Facility in the U.S.

Scope: Entire Operation

Territory:

Operation Types Interstate Intrastate

Carrier: Non-HM N/A  
Shipper: N/A N/A  
Cargo Tank: N/ABusiness: Corporation  
Gross Revenue: \$12778

for year ending: 4/30/2012

## Company Physical Address:

117 NORTH TRACE LN  
COLUMBIA, SC 29223, UNITED STATES

Contact Name: George Washington

Phone numbers: (1) 8037083191

(2) 8033785590

Fax 8037083191

E-Mail Address:

## Company Mailing Address:

PO BOX 24971  
COLUMBIA, SC 29224, UNITED STATES

## Carrier Classification

Authorized for Hire: Private Passenger, Business

## Cargo Classification

Passengers

Does carrier transport placardable quantities of HM? No

Is an HM Permit required? No

## Driver Information

	Inter	Intra
< 100 Miles:	0	0
≥ 100 Miles:	3	0

Average trip leased drivers/month: 0

Total Drivers: 3


CDL Drivers: 3


## Equipment

	Owned	Term Leased	Trip Leased
Motor Coach	1	0	0

Power units used in the U.S.: 1

Percentage of time used in the U.S.: 100

	<b>C&amp;G CHARTER SERVICE LLC</b> USDOT#: 2189771	<b>Review Date:</b> 5/16/2012
<b>Part A</b>		
<p>QUESTIONS regarding this report or the Federal Motor Carrier or Hazardous Materials rules may be addressed to the Office of Motor Carriers at:</p> <p>South Carolina State Transport Police / Motor Carrier Compliance Unit 10311 Wilson Blvd, Bldg D-2 / PO Box 1993 Blythewood, SC 29016</p>		
<b>This SAFETY AUDIT will be used to assess your safety compliance.</b>		
<b><u>Person(s) interviewed:</u></b>		
<b>Name:</b> George Washington		<b>Title:</b> Office Manager

	<b>C&amp;G CHARTER SERVICE LLC</b>	<b>Review Date:</b>
	<b>USDOT#: 2189771</b>	<b>5/16/2012</b>

**Part B - Questions and Answers**

An asterisk (\*) beside an answer indicates an area of non-compliance by the motor carrier, and negatively affects the results of the audit.

<b>Question</b> General # 1 Section # 387.7(a) Acute Does the carrier have the required minimum level of financial responsibility in effect (property carrier)?	<b>Answer</b> N/A
<b>Question</b> General # 2 Section # 387.7(d) Critical Does the carrier have required proof of financial responsibility (property carrier)?	<b>Answer</b> N/A
<b>Question</b> General # 3 Section # 387.31(a) Acute Does the carrier have the required minimum level of financial responsibility in effect (passenger carrier)?	<b>Answer</b> Yes
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<b>Question</b> General # 9 Section # 390.21 Does the carrier know the commercial motor vehicles marking requirements?	<b>Answer</b> Yes
<b>Question</b> Driver # 1 Section # 391.51(a) Critical Does the carrier maintain driver qualification files?	<b>Answer</b> No *
<b>Additional Documents Required</b> Driver qualification files; Up to three (3) files which include newly hired drivers if applicable.	
<b>Comments</b> Thomas Wilson - 04/03/12 to FL - Application for employment missing employment history, missing safety performance history records check. MORRIS SIMMONS - 5/3/12 to GA - Application for employment not signed, missing safety performance history records check and road test certification. HERBERT SELLERS - 3/20/12 to FL - missing safety performance history records check.	
<b>Question</b> Driver # 2 Section # 391.11(b)(4) Acute Is the carrier using physically qualified drivers?	<b>Answer</b> Yes
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<b>Question</b> Driver # 20 Section # 382.503 Critical Has the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?	<b>Answer</b> N/A
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<b>Question</b> Operations # 12 Section # 395.5(b)(2) Critical Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive days? (Passenger)	<b>Answer</b> No
<b>Question</b> Operations # 13 Section # 395.8(e) Critical Does available evidence indicate a selected driver has prepared a false record of duty status?	<b>Answer</b> No
<b>Question</b> Operations # 14 Section # 392.2 Critical Does the motor carrier ensure that drivers operate commercial motor vehicles in accordance with the laws, ordinances, and regulations of the jurisdictions in which they are operating?	<b>Answer</b> Yes
<b>Question</b> Operations # 15 Section # 392.9(a)(1) Critical Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed and adequately secured?	<b>Answer</b> Yes
<b>Question</b> Operations # 16 Section # 392.4(b) Acute Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safely operating motor vehicles?	<b>Answer</b> No
<b>Question</b> Operations # 17 Section # 392.5(b)(1) Acute Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, intoxicating beverages?	<b>Answer</b> No
<b>Question</b> Operations # 18 Section # 392.5(b)(2) Acute Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating beverages?	<b>Answer</b> No
<b>Question</b> Maintenance # 1 Section # 396.3(b) Critical Can the carrier produce maintenance files for requested vehicle(s)?	<b>Answer</b> No *
<b>Additional Documents Required</b> Complete vehicle maintenance files; up to three (3). Preventive Maintenance Plan.	
<b>Comments</b> Thomas Wilson - 4/13/12 to FL - 1999 VANH YE2TC63B9X2043387 - Missing maintenance folder with vehicle identification information to include PM plan	
<b>Question</b> Maintenance # 2 Section # 396.17(a) Critical Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?	<b>Answer</b> Yes
<b>Question</b> Maintenance # 3 Section # 396.11(a) Critical Does the motor carrier require drivers to complete vehicle inspection reports daily?	<b>Answer</b> Yes
<b>Question</b> Maintenance # 4 Section # 396.11(c) Acute Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?	<b>Answer</b> Yes
<b>Question</b> Maintenance # 5 Section # 396.9(c)(2) Acute Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have been made?	<b>Answer</b> Yes

\* \* \* Error Report ( Jun. 15. 2012 8:36AM ) \* \* \*

1) SC Public Service Comm Docketing  
2)

File No.	User Name	Destination	Mode	Time	Page	Result
9600			G3RES	1'18"	P. 7	E

Page not received

Quick Service Code

P. 7

00-01

# : Batch  
M : Memory  
S : Standard  
> : Reduction  
Q : RX Notice Req.  
□ : Folder


C : Confidential  
L : Send later  
D : Detail  
H : Stored/D. Server  
A : RX Notice


\$ : Transfer  
@ : Forwarding  
F : Fine  
\* : LAN-Fax  
◇ : Mail

P : SEP Code  
E : ECM  
U : Super Fine  
+ : Delivery  
<> : IP-FAX


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<table border="1"> <tr> <td><b>Interstate</b></td> <td><b>Intrastate</b></td> </tr> <tr> <td><b>Carrier:</b> Non-HM</td> <td>N/A</td> </tr> <tr> <td><b>Shipper:</b> N/A</td> <td>N/A</td> </tr> <tr> <td><b>Cargo Tank:</b> N/A</td> <td></td> </tr> </table>			<b>Interstate</b>	<b>Intrastate</b>	<b>Carrier:</b> Non-HM	N/A	<b>Shipper:</b> N/A	N/A	<b>Cargo Tank:</b> N/A		<b>Business:</b> Corporation <b>Gross Revenue:</b> \$12778 <b>for year ending:</b> 4/30/2012											
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<b>Name:</b> George Washington		<b>Title:</b> Office Manager



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<b>Question</b> Operations # 14 Section # 392.2 Critical Does the motor carrier ensure that drivers operate commercial motor vehicles in accordance with the laws, ordinances, and regulations of the jurisdictions in which they are operating?	<b>Answer</b> Yes
<b>Question</b> Operations # 15 Section # 392.9(a)(1) Critical Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed and adequately secured?	<b>Answer</b> Yes
<b>Question</b> Operations # 16 Section # 392.4(b) Acute Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safely operating motor vehicles?	<b>Answer</b> No
<b>Question</b> Operations # 17 Section # 392.5(b)(1) Acute Have any drivers operated a commercial motor vehicle while under the influence of, or in possession of, intoxicating beverages?	<b>Answer</b> No
<b>Question</b> Operations # 18 Section # 392.5(b)(2) Acute Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating beverages?	<b>Answer</b> No
<b>Question</b> Maintenance # 1 Section # 396.3(b) Critical Can the carrier produce maintenance files for requested vehicle(s)?	<b>Answer</b> No *
<b>Additional Documents Required</b> Complete vehicle maintenance files; up to three (3). Preventive Maintenance Plan.	
<b>Comments</b> Thomas Wilson - 4/13/12 to FL - 1999 VANH YE2TC83B9X2043387 - Missing maintenance folder with vehicle identification information to include PM plan	
<b>Question</b> Maintenance # 2 Section # 396.17(a) Critical Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?	<b>Answer</b> Yes
<b>Question</b> Maintenance # 3 Section # 396.11(a) Critical Does the motor carrier require drivers to complete vehicle inspection reports daily?	<b>Answer</b> Yes
<b>Question</b> Maintenance # 4 Section # 396.11(c) Acute Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?	<b>Answer</b> Yes
<b>Question</b> Maintenance # 5 Section # 396.9(c)(2) Acute Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have been made?	<b>Answer</b> Yes

<b>Question</b> Maintenance # 6 Section # 396.19 Is the carrier using qualified inspectors (mechanic) and maintaining evidence of the inspector's qualifications?	<b>Answer</b> Yes
<b>Question</b> Maintenance # 7 Section # 396.3 Can the carrier explain its systematic, periodic maintenance program?	<b>Answer</b> Yes
<b>Question</b> Other # 1 Section # 375.211 Does the carrier participate in an Arbitration Program?	<b>Answer</b> N/A
<b>Question</b> Other # 2 Section # 13702.0 Does the carrier assess shipper freight charges based upon published tariffs?	<b>Answer</b> N/A
<b>Question</b> Other # 3 Section # 375.401(c) Does the carrier provide reasonably accurate estimates of moving charges?	<b>Answer</b> N/A
<b>Question</b> Other # 4 Section # 375.407(a), 375.703(b) Has the carrier avoided "hostage freight" or other predatory practices?	<b>Answer</b> N/A
<b>Question</b> Other # 5 Section # 387.301(a), 387.301(b) Does the HHG carrier have sufficient levels of public liability and cargo insurance?	<b>Answer</b> N/A
<b>Question</b> Other # 6 Section # 375.215 Does the carrier have a published tariff and is the motor carrier changing the applicable rate (375.215).	<b>Answer</b> N/A
<b>Question</b> Other # 7 Section # 375.213 Can the motor carrier identify the five documents required to be given to a prospective individual shipper prior to executing an order for service?	<b>Answer</b> N/A
<b>Question</b> Other # 8 Section # 49 CFR 37 subpart H Does the carrier have the means to provide accessible over-the-road bus (OTRB) service on a 48-hour advance notice basis by its owned or leased OTRBs?	<b>Answer</b> N/A
<b>Question</b> Other # 9 Section # 49 CFR 37 subpart H If the carrier does not have the means then does the carrier have an arrangement with another carrier that operates accessible OTRBs?	<b>Answer</b> N/A

Note: No Hazardous Materials questions were asked because the carrier does not carry Hazardous Materials in Interstate Commerce.

	<b>C&amp;G CHARTER SERVICE LLC</b>	<b>Review Date:</b>
	USDOT#: 2189771	5/16/2012
<b>Part B</b>		

Your Proposed Safety Audit Result is: **PASS**

### Explanation of Scoring Methodology

Factor	Failed Questions		Performance Test Status	Total Points	Factor Status
	Critical	Acute			
1. General	0	0	—	0	PASS
2. Driver	1	0	—	1	PASS
3. Operations	0	0	—	0	PASS
4. Maintenance	1	0	PASS — 0.00 %	1	PASS
5. Hazardous Materials	—	—	—	—	—
6. Accidents	—	—	PASS — 0.00	—	PASS
<b>SUM</b>	<b>2</b>	<b>0</b>		<b>2</b>	<b>PASS</b>

**Result:** Carrier has adequate basic safety management controls in place.

NOTE: Carrier has the right to request a review of this determination if there are factual or procedural disputes.

#### HOW THE SA IS SCORED

**FACTORS** - The Federal Motor Carrier Safety and Federal Hazardous Material Regulations are categorized into six factors. Multiple questions address the various factors. The Part B Question & Answer Report lists the CFR section numbers related to each question.


**CRITICAL/ACUTE** - Questions are also defined as CRITICAL, ACUTE or neither depending on the significance of the underlying regulation. Questions are assigned a point value if they are incorrectly answered. Critical = 1 and Acute = 1.5. The point values are summed for each factor. Any factor with a point value of 3 or more is marked "FAILED".

**OUT OF SERVICE (OOS) RATE** - The Driver/Vehicle OOS rate is used in factor #4 as another question. If there have been more than three level 1, 2, or 5 North American Standard Inspections conducted over the past year, they will be summarized. If the summed OOS rate is over 34%, one additional point is assigned to that factor.

**CRASH FACTOR** - Carriers are defined as urban or non-urban in order to compensate for the higher crash risk of urban operations. Urban carriers are defined as those that operate within a 100 air-mile radius. The crash rate for a carrier is calculated as accidents per million miles traveled. Factor #6 is "FAILED" if the urban carrier crash rate exceeds 1.7 or the non-urban carrier crash rate exceeds 1.5.

**OVERALL STATUS DETERMINATION** - Any carrier with 3 or more "FAILED" factors is deemed to have failed the Safety Audit by having inadequate safety management controls in place to operate in the U.S.



	<b>C&amp;G CHARTER SERVICE LLC</b>	<b>Review Date:</b>
	USDOT#: 2189771	5/16/2012
<b>Part B Requirements and/or Recommendations</b>		

1. Obtain a copy of each driver's driving record and review it annually.
2. Ensure that drivers provide a 10-year employment history on their employment application.
3. Ensure that all drivers are fully and properly qualified before operating in interstate commerce. Maintain a complete file as required for each driver, documenting the qualification process.
4. Laboratory must transmit aggregate statistical summary on semi-annual basis
5. Maintain all required controlled substance testing records including yearly summaries, quarterly summaries, test information, test results, records of training etc., as required by 49 CFR Parts 40 and 382 of the FMCSR .
6. Use only those laboratories certified by the Substance Abuse and Mental Health Services Administration for the analysis of urine specimens. For a list of certified laboratories check [www.drugfreeworkplace.gov](http://www.drugfreeworkplace.gov) or call (301) 443-6014.
7. Use of radar detectors or similar devices on commercial vehicles is illegal. Do not require or permit drivers to use them. Take appropriate disciplinary action against drivers if they are using such devices.
8. Do not schedule or require drivers to make trips requiring them to exceed posted speed limits in order to complete the run within the hours of service limits.
9. Require all drivers to prepare complete and accurate records of duty status for each day, and to submit them within 13 days. Maintain all duty status records on file, with all supporting documents, for at least 6 months.
10. Ensure that all documents supporting records of duty status (such as toll, fuel repair and other on-the-road expense receipts, as well as invoices, bills of lading, dispatch records, etc.) are kept on file for at least 6 months.
11. Obtain from any driver used for the first time (or intermittently) a signed statement showing the total time on-duty during the preceding seven (7) days and the time at which the driver was last relieved from duty.
12. Toll receipts and other on-the-road expense receipts, invoices, bills of lading, dispatch records, and other "supporting document" must be kept on file for six (6) months. This requirement also applies to records generated by the use of owner-operators. You may keep legible photocopies in lieu of originals.
13. Ensure that all drivers' records of duty status (logs) are accurate. Check them against "supporting documents" to verify accuracy. Prohibit falsification of logs by any driver. Review the rules on supporting documents. Take appropriate action against drivers who falsify logs.
14. Establish a systematic maintenance records program for all vehicles. Maintain a complete file for each subject vehicle, recording all repair, maintenance and inspection operations performed.
15. Establish a system to control passenger-carrying drivers' hours of service. Do not dispatch drivers who don't have adequate hours available to complete assigned trips legally. Do not allow drivers to exceed the 10, 15, and 60/70-hour limits.
16. For questions about DOT numbers or biennial updates: 800-832-5660 or 703-280-4001  
For questions about licensing, authority or MC numbers: 202-366-9805  
For questions about insurance: 202-385-2423  
For household goods complaints: 888-DOT-SAFT (888-368-7238)

17. Each driver's qualification file shall be retained for as long as a driver is employed by that motor carrier and for three years thereafter.

(d) The following records may be removed from a driver's qualification file three years after the date of execution:

(d)(1) The motor vehicle record received from each State driver licensing agency to the annual driver record inquiry required by §391.25(a).

(d)(2) The note relating to the annual review of the driver's driving record as required by §391.25(c)(2);(d)(3) The list or certificate relating to violations of motor vehicle laws and ordinances required by §391.27;

18. If you have any questions concerning this report,, please contact the South Carolina State Transport Police/ Motor Carrier Compliance Unit 10311 Wilson Boulevard/ P.O. Box 1993, Blythewood, SC 29016. Phone: 803-896-2696 / Fax 803-896-5526

19. Each employer shall maintain records of its alcohol misuse and controlled substances use prevention programs as provided in Section 382.401. The records shall be maintained in a secure location with controlled access. The following records shall be maintained for a minimum of five years: Records of driver alcohol test results indicating an alcohol concentration of 0.02 or greater, Records of driver verified positive controlled substances test results, Documentation of refusals to take required alcohol and/or controlled substances tests, Driver evaluation and referrals, Records related to the administration of the alcohol and controlled substances testing programs, and a copy of each annual calendar year summary required by Section 382.403 and all other records required by Section 382.401.

20. The original or a copy of the Periodic (annual) inspection report shall be retained by the motor carrier or other entity who is responsible for the inspection for a period of fourteen months from the date of the inspection report. The original or a copy of the inspection report shall be retained where the vehicle is either housed or maintained. See Section 396.21.

21. Inspection, repair and maintenance files shall be retained where the vehicle is either housed or maintained for a period of 1 year and for 6 months after the motor vehicle leaves the motor carrier's control.

22. Comprehensive Safety Analysis , CSA , is a Federal Motor Carrier Safety Administration (FMCSA) initiative to improve large truck and bus safety and ultimately reduce commercial motor vehicle (CMV)-related crashes, injuries and fatalities. It introduces a new enforcement and compliance model that allows FMCSA and its State partners to contact a larger number of carriers earlier in order to address safety problems before crashes occur.

For additional information on CSA , please visit the CSA website - <http://csa2010.fmcsa.dot.gov/>

23. A complete Educational and Technical Assistance package entitled " A MOTOR CARRIER'S GUIDE TO IMPROVING HIGHWAY SAFETY" is available free on the FMCSA website to assist you in complying with the safety regulations. It contains many forms and documents useful for improving the safety of your operations. Check: [www.fmcsa.dot.gov/factsfigs/eta/index.html](http://www.fmcsa.dot.gov/factsfigs/eta/index.html).